



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inter Patent Application of
HARRINGTON et al.

Atty. Ref.: 3594-5

Serial No. 09/666,559

Group: 2164

Filed: September 21, 2000

Examiner:

For: PROCESS AND APPARATUS FOR CONDUCTING
AUCTIONS OVER ELECTRONIC NETWORKS

* * * * *

February 27, 2001

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

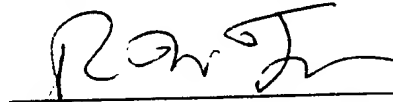
INFORMATION DISCLOSURE STATEMENT

In accordance with Rule 97, the undersigned attorney submits the documents listed on the attached form PTO-1449. A copy of each document is enclosed.

The Examiner is requested to initial the attached form PTO-1449 and to return a copy to the undersigned as an indication that the attached documents have been considered and made of record in this case.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: 

Robert W. Faris
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1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
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**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

3594-5

09/666,559

APPLICANT

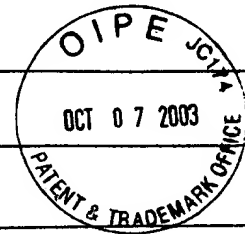
HARRINGTON et al.

FILING DATE

GROUP

September 21, 2000

2164



U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

[illegible]

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1445)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of

HARRINGTON et al.

Serial No. 09/666,559

Filed: September 21, 2000

For: PROCESS AND APPARATUS FOR CONDUCTING AUCTIONS
OVER ELECTRONIC NETWORKS

* * * * *

Atty. Ref.: 3594-5

Group: 2164

Examiner:

December 2, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

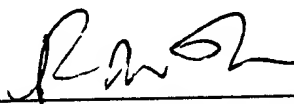
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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**INFORMATION DISCLOSURE
CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.

3594-5

APPLICANT

HARRINGTON et al.

FILING DATE

September 21, 2000

SERIAL NO.

09/666,559

GROUP

2164



U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

*Examiner	Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HARRINGTON et al.

Serial No. 09/666,559

Filed: September 21, 2000

Atty. Ref.: 3594-5

Group: 2164

Examiner:

For: PROCESS AND APPARATUS FOR CONDUCTING AUCTIONS
OVER ELECTRONIC NETWORKS

* * * * *

November 15, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with Rule 97, the undersigned attorney submits the documents listed on the attached form PTO-1449. A copy of each document is enclosed.

The Examiner is requested to initial the attached form PTO-1449 and to return a copy to the undersigned as an indication that the attached documents have been considered and made of record in this case.

Respectfully submitted,

NIXON & VANDERHYE P.C.

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**INFORMATION DISCLOSURE
CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.

3594-5

APPLICANT

HARRINGTON et al.

FILING DATE

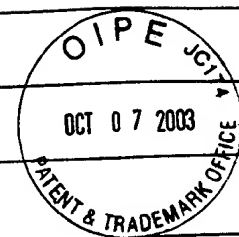
September 21, 2000

SERIAL NO.

09/666,559

GROUP

2164



U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

OTHER DOCUMENTS (Including)	
*Examiner	Date Considered

UNP-000 Draw line through citation if not in conformance and not considered. Include

*Examiner

Date Considered _____

*Examiner		Date Considered
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.		

Form PTO-FB-A820 (Also PTO-144)

Form PTO-FB-A820 (Also PTO-1449)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HARRINGTON et al.

Serial No. 09/666,559

Filed: September 21, 2000

For: PROCESS AND APPARATUS FOR CONDUCTING
AUCTIONS OVER ELECTRONIC NETWORKS

* * * * *

Atty. Ref.: 3594-5

Group: 2164

Examiner:

June 4, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants apprise that PTO that their US Patent No. 6,161,099 (which issued from the parent application from which this continuing application claims priority under 35 USC 120) is being asserted in a patent infringement litigation captioned as follows:

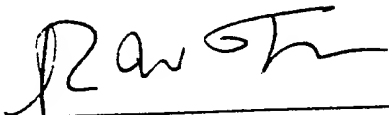
*Muniauction, Inc. d/b/a Grant Street Group, Inc. v. Thomson Corporation
t/d/b/a Thomson Financial and/or Thomson Financial Municipals Group,
and I-Deal, LLC, United States District Court for the Western District of
Pennsylvania (CA No. 01 1003).*

A copy of the complaint and amended complaint as filed in the court is attached.

HARRINGTON et al.
Serial No. 09/666,559

Respectfully submitted,

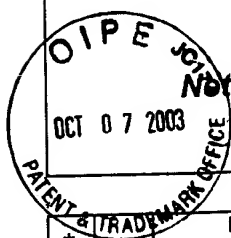
NIXON & VANDERHYE P.C.

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Notice of References Cited

Application/Control No.

09/666,559

Applicant(s)/Patent Under
Reexamination
HARRINGTON ET AL.

Examiner

Forest O. Thompson Jr.

Art Unit

3625

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,026,383	02-2000	Ausubel, Lawrence M.	705/37
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

